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#5 Sector \$  
PATENT

Case Docket No. CALIME.006A  
Date: December 21, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Guerra, et al.

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C 20231, on

App. No. : 09/842,214

December 21, 2001

Filed : April 25, 2001

(Date)

For : METHOD OF  
FABRICATING SUB-  
MICRON  
HEMISPERICAL AND  
HEMICYLINDRICAL  
STRUCTURES FROM  
NON-SPHERICALLY  
SHAPED TEMPLATES

Thomas R. Arno, Reg. No. 40,490

Group Art Unit : 1746

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on June 27, 2001, enclosed are:

(X) An executed Declaration by Inventor(s).  
(X) An extension of time to respond for 4 months is hereby requested.

Time Extension Fee:

( ) one month (\$110 large entity)  
( ) two months (\$400 large entity)  
( ) three months (\$920 large entity)  
(X) four months (\$1,440 large entity)

01/24/2002 SSSHE1 00000028 09042214  
1440.00 IP  
01 FG:11A

PATENT

Case Docket No. CALIME.006A

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A Notice to File Missing Parts.

7 sheets of substitute drawings

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Return prepaid postcard.

Fees as calculated below:

FILING FEE	\$ 1130
FEE FOR EXTENSION OF TIME (LARGE ENTITY)	4 months \$ 1440
SURCHARGE 37 CFR 1.16(e)	\$ + 130
TOTAL OF ABOVE CALCULATIONS	\$ 2700
REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY.	
Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached. \$ -	
TOTAL FEES SUBMITTED HEREWITH	\$ 2700

A check in the amount of \$2700 to cover the above fees is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

  
Thomas R. Arno  
Registration No. 40,490  
Attorney of Record



JAN 18 2002

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/842,214	04/25/2001	Dmitri Vezenov	CALIME.006A

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660

## CONFIRMATION NO. 5385

## FORMALITIES LETTER



\*OC00000006231015\*

Date Mailed: 06/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/24/2002 SSESME1 00000028 09842214

02 FC:101 740.00 OP  
03 FC:103 54.00 OP  
04 FC:102 336.00 OP  
05 FC:105 130.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$374.
  - \$54 for 3 total claims over 20.
  - \$320 for 4 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1214.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*sf*  
*10185*  
*JAN 18 2002*

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE